



Introducing Broker Application Form – PART ONE

Please complete each section. The completed and signed form should be returned to the Compliance Department at Forex Place LTD.

Section One: Applicant Details

Title: _____
Surname: _____
Forenames: _____
Date of Birth: _____
Place of Birth: _____
Nationality: _____
National Insurance Number: _____
National ID Number (non UK residents): _____

Section Two: Company Details

Name of Company: _____	if you have a parent company, please give details:
Registered Address: _____	_____
City/Town: _____	_____
Country : _____	_____
Post Code: _____	Name of ALL Directors:
Business Address (if different): _____	1. _____
City/Town: _____	2. _____
Country : _____	3. _____
Post Code: _____	4. _____
Telephone: _____	Name of ALL Shareholders (more than 25%):
Fax: _____	1. _____
Email: _____	2. _____
Website: _____	3. _____
Company Registration Number: _____	4. _____



Section Three: Director Details

Director 1: _____

Director 2: _____

Address: _____

Address: _____

City/Town: _____

City/Town: _____

Country : _____

Country: _____

Post Code: _____

Post Code: _____

Director 3: _____

Director 4: _____

Address: _____

Address: _____

City/Town: _____

City/Town: _____

Country : _____

Country: _____

Post Code: _____

Post Code: _____

Section Four: Shareholder Details

Shareholder 1: _____

Shareholder 2: _____

Address: _____

Address: _____

City/Town: _____

City/Town: _____

Country : _____

Country: _____

Post Code: _____

Post Code: _____

Shareholder 3: _____

Shareholder 4: _____

Address: _____

Address: _____

City/Town: _____

City/Town: _____

Country : _____

Country: _____

Post Code: _____

Post Code: _____



Section Five: Banking Details

Please provide details of the Bank Account that you will use to make and receive payments in connection with the trading activities on your account. Please note that we intend to use these details for all future payments that you may request. Your nominated Bank Account must be in the same name as your account with Forex Place Ltd.

Name and branch of Bank or other financial institution where account is held:

Address of Bank or other financial institution where account is held:

Postal/Zip Code: _____ Country: _____

Currency of Account: _____

IBAN/Account Number: _____

Sort Code/BIC/ABA/Swift: _____

Section Six: Experience

Please complete this section in order that we can categorise the level of your experience. We are required to do this by law, and will advise you as to your classification.

To what extent over the past 3 years have you traded the following?

	Frequently	Sometimes	Never
Shares and/or Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Exchange traded derivatives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Futures and options)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
OTC derivatives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(CFDs or forex)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Section Seven: About Your Business

Your Clients

Are your clients:

- Individuals
- Corporations
- Fund Managers
- Begginers
- Professionals

Types of Markets:

- Forex
- Commodities
- Shares and/or Bonds

Section Eight: Supporting Documents

The person undertaking the certification must be available to be contacted if necessary. Please supply contact details – name, address, telephone number.

Identity Verification	Address Verification
Current passport with clear photograph and standard signature. or National identity card showing a clear picture and standard signature.	Utility bill (gas, water or electricity), less than 6 months old, sent to current residential address (mobile telephone bills not accepted).

Company applications must be accompanied by certified copies of the following documents:

- Memorandum and Articles of Association
- Certificate of Incorporation
- Individual Verification of any Shareholders owning over 25%
- Verification of Directors
- Copy of license to carry out introducing business, or a written legal opinion from local lawyers that a license is not required



Introducing Broker (IB) Questionnaire – Part Two

If there is insufficient space, please continue on a separate sheet of paper, and clearly identify the question to which the information relates.

1. Please describe your current business operations.
2. Please provide a summary of all financial services markets and sectors you have been involved or worked in during the past 10 years
3. Have you been an IB of any other financial services company in the last 2 years? Please give full details.
4. Are you currently an IB of any other financial services company? Please give full details.
5. Where do you intend to get your client leads from for forwarding on to FOREX PLACE Ltd? eg friends, relatives, Internet, marketing tools
6. How many clients per month do you intend to bring to FOREX PLACE Ltd?
7. How do you intend to fully comply with FOREX PLACE's account opening procedures and ensure the genuine documentation is supplied to us?
8. Will you have Power of Attorney over client accounts you introduce to us? Do you intend to trade for your clients?

Declaration and Signature of Applicant

I understand the nature and risks of forex trading. I declare that the information in this application form submitted by me is true to the best of my belief.

I have understood and agree to be bound by the terms and conditions. I accept that you may in your absolute discretion decline this application without reason being given.

Signed _____

Dated _____

Forex Place Ltd,
Istanbul Maslak Area - Bilim Street No:5, ZIP: 343 **Turkey**